This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:
C09D 101/00, 103/00
A61K 9/36, A23P 1/08
A01C 1/06, C08L 1/28, 3/02

(11) International Publication Number: WO 91/15548
(43) International Publication Date: 17 October 1991 (17.10.91)

(21) International Application Number: PCT/US91/02162

(22) International Filing Date: 1 April 1991 (01.04.91)

504,677 4 April 1990 (04.04.90) US

(71) Applicant: BERWIND PHARMACEUTICAL SER-VICES, INC. [US/US]; Moyer Boulevard, West Point, PA 19486 (US).

(72) Inventors: GRILLO, Susan, M.; 115 San Francisco Drive, Quakertown, PA 18951 (US). STEFFENINO, Rita, M.; Box 226A, Geryville Pike, Green Lane, PA 18054 (US). KUNKLE, Diane, C.; 834 Andover Road, Lansdale, PA 19446 (US). SARACENI, Kathleen; 416 Columbia Avenue, Lansdale, PA 19446 (US). (74) Agents: EARLEY, John, F., A., III et al.; Harding, Earley, Follmer & Frailey, 86 The Commons At Valley Forge East, 1288 Valley Forge Road, P.O. Box 750, Valley Forge, PA 19482-0750 (US).

(81) Designated States: AU, BE (European patent), CH (European patent), DE (European patent), DK (European patent), FR (European patent), GB (European patent), IT (European patent), JP, KR, NL (European patent).

Published

With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: AQUEOUS MALTODEXTRIN AND CELLULOSIC POLYMER FILM COATINGS

(57) Abstract

(30) Priority data:

A method of coating substrates such as pharmaceutical tablets, food and confectionery forms, agricultural seeds and the like, with a protective film comprises the steps of mixing a cellulosic polymer, maltodextrin, and a plasticizer into water to form an aqueous coating suspension, spraying an effective amount of said coating suspension onto said substrates to form a film coating on said substrates, and drying the film coating on said substrates. Optionally, a detackifier, a secondary film former, a flow aid, and/or a colorant may be dispersed into the coating suspension before applying the coating suspension to the substrates. A dry powder edible film coating composition for use in pharmaceuticals, food and confectionery forms, agricultural seeds, and the like, comprises a dry mixture of a cellulosic polymer, maltodextrin, and a plasticizer. Optionally, the dry coating composition may include a detackifier, a secondary film former, a flow aid, and/or a colorant.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	_
BB	Barbados	FR	France		Mali
BE	Belgium	GA	Gabon	MN	Mongolia
BF	Burkina Faso	GB	+	MR	Mauritania
BG	Bulgaria		United Kingdom	MW	Malawi
BJ	Benin	GN	Guinea	NL	Notherlands
BR		GR	Greece	NO	Norway -
	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	. JP	Japan	SD	Sudan
CC	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		об Когеа	SN	
CI	Côte d'Ivoire	KR	Republic of Korea		Senegal
CM	Cameroon	Li	Liechtenstein	ຍ	Soviet Union
CS	Czechoslovakia	LK	Sri Lanko	TD	Chad
DE	Germany		-	TG	Togo
DK	Denmark	LU MC	Luxembourg ' Monaco	us	United States of America

AQUEOUS MALTODEXTRIN AND CELLULOSIC POLYMER FILM COATINGS

BACKGROUND OF THE INVENTION

1. Field of the Invention

This invention is in the field of aqueous film coating of pharmaceutical, food, confectionery, and agricultural products, and is specifically concerned with providing coatings from a combination of maltodextrin and cellulosic polymers for coating such things as pharmaceutical tablets, pieces of candy, cereals, and agricultural seeds.

2. Description of the Prior Art

Cellulose polymers such as hydroxypropyl methylcellulose (HPMC) have long been recognized in the art as being suitable for aqueous film coating of pharmaceutical tablets and the like. To those

5

10

L5

10

15

20

skilled in the art, it is well known that as the molecular weight of the polymer is increased, the tensile strength of the film increases. also true for polymer blends whereby a high molecular weight polymer is added to a lower molecular weight polymer producing a substantially stronger film. Rowe, "Some Fundamental Properties of Polymeric Materials and Their Application in Film Coating Formulations", INT. J. PHARM TECH & PROD MFR., (3) Page 5, 1982, states that adding high molecular weight components to a composition of high and low molecular weight grades of a polymer can increase the effective tensile strength of that polymer. Rowe also states on page 3 of the same article that "the data presented almost exclusively pertains to the cellulose derivatives but the trends reported are likely to be the same for all polymers used in film coating."

Although an increase in molecular weight of a polymer blend is expected to increase the tensile strength of the coating, such an increase in molecular weight of the polymer blend decreases the clarity of the coating, and this is a drawback when

it is desired to coat a substrate with a clear

BNSDOCID: <WO__9115548A1_J_>

coating. Accordingly, a trade off has to be made between high tensile strength and high clarity. That is, to reduce cloudiness in a coating, the molecular weight of the polymer blend may be reduced, but this reduction in the molecular weight of the polymer blend would be expected to reduce the tensile strength of the coating.

Maltodextrins, which possess very low average molecular weights, would not be expected to enhance the strength of a film when blended with a polymer of higher molecular weight.

Also, when maltodextrins are combined with a single cellulosic polymer of higher molecular weight, the lower the molecular weight grade of maltodextrin used, the more the tensile strength of the film would be expected to decrease. We have found that, surprisingly, the opposite occurs.

5

10

SUMMARY OF THE INVENTION

An aqueous coating suspension comprises an effective amount of a combination of maltodextrin and a cellulosic polymer or polymers, and plasticizer mixed into water to form an aqueous suspension which may be applied to the substrates to be coated, as by spraying. In some cases, a secondary film former, a detackifier, and/or a flow aid are added to the mix. Optionally, a colorant may be added to the aqueous coating suspension before the coating step.

The film former of the coating is a mixture of maltodextrin and a cellulosic polymer film former, preferably methylcellulose, hydroxypropyl cellulose (HPC), hydroxypropyl methylcellulose (HPMC), hydroxypropyl methylcellulose (HPMC),

The plasticizer may be polyethylene glycol, triacetin, propylene glycol, acetyltriethyl citrate, triethyl citrate, or glycerin.

The colorants may be FD & C lakes, D & C lakes, titanium dioxide or dyes approved for ingestion by the U.S. Federal Drug Administration. Examples of such pigments are listed in Colorcon U.S. Patent

10

5

4

15

4,543,370 issued September 24, 1985, which is incorporated herein by reference.

The detackifier may be lecithin or powdered stearic acid.

The secondary film former may be sodium alginate, propylene glycol alginate, or polyvinylpyrrolidone.

The flow aid may be silicates, such as talc, fumed silicas, or bentonite, or lubricating aids such as edible hydrogenated vegetable oils (stearines), or hydrogenated vegetable oils and waxes.

The quantity of maltodextrin is within the range of 5% to 78.5% by weight of the non-water ingredients of the aqueous coating suspension, and preferably is within the range of 20% to 50% by weight of the non-water ingredients of the aqueous coating suspension.

The quantity of the cellulosic polymer(s) is within the range of 4% to 90% by weight of the non-water ingredients of the aqueous coating suspension, and preferably is within the range of 20% to 70% by weight of the non-water ingredients of the aqueous coating suspension.

5

ġ.,

10

15

10

15

20

Preferably, the ratio by weight of maltodextrin to the cellulosic polymer(s) is 3 to 7 (3:7). That is, of just the film former in the dry formula, preferably 30% of the film former by weight is maltodextrin and 70% of the film former by weight is a cellulosic polymer or a combination of cellulosic polymers. However, a good coating still is obtained with the percentage of the maltodextrin in the film former being in the range of 5% to 95% by weight and the percentage of the cellulosic polymer or the combination of cellulosic polymers in the film former being in the range of 5% to 95% by weight.

The range for the plasticizer is 2.5% to 20% by weight of the non-water ingredients of the aqueous coating suspension, and the preferred range for the plasticizer is 5% to 10% by weight of the non-water ingredients of the aqueous coating suspension.

The ranges and preferred ranges for the remaining ingredients are as follows: secondary film former 0% to 15% with a preferred range of 0% to 10%; detackifier 0% to 15%, with a preferred range of 0% to 10%; flow aid 0% to 20%, with a preferred range of 0% to 10%; and a colorant 0% to 30%, with a preferred range of 0% to 20%. These ranges are all

by weight of the non-water ingredients of the aqueous coating suspension.

Maltodextrin is available in varying levels of molecular weight as is hydroxypropyl methylcellulose. Dow ranks its Methocel hydroxypropyl methylcellulose products, HPMC E-3, HPMC E-6, HPMC E-15, HPMC E-50, etc. in order of the increasing molecular weight, unlike Staley which ranks its maltodextrins, Star-Dri 1, Star-Dri 5, Star-Dri 10, Star-Dri 15, in order of decreasing molecular weight, with Star-Dri 1 possessing the highest molecular weight and Star-Dri 15 the lowest molecular weight. Gel permeation chromatography (GPC) analysis of average molecular weights of Dow's Methocel number series and Staley's maltodextrins (Star-Dri) are as follows:

5

10

	GRADE OF POLYMERS	LOT NO.	AVERAGE MOLECULAR WEIGHT
5 .	Methocel HPMC E-3 (Dow) Methocel HPMC E-6 (Dow) Methocel HPMC E-15 (Dow) Methocel HPMC E-50 (Dow)	LC11126 LC10738 LC10236 LC10679	26,247 73,476 131,393 174,971
	Maltodextrin Star-Dri 1 (Staley)	LC10837	56,955
10	Maltodextrin Star-Dri 5 (Staley)	SS0641HA	
	Maltodextrin Star-Dri 10 (Staley)	SS1738GA	7,692
15	Maltodextrin Star-Dri 15 (Staley)	LC09452	1,470
	Conditions: TSK 3000, po 0.01M NaCl a	olyethylen aqueous mol	e oxide stds, bile phase

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a graph of tensile strength of hydroxypropyl methylcellulose films having 70% w/w of Methocel E-6 hydroxypropyl methylcellulose and 30% w/w of another hydroxypropyl methylcellulose versus the molecular weight of the 30% w/w hydroxypropyl methylcellulose;

Fig. 2 is a graph of modulus of elasticity of hydroxypropyl methylcellulose films having 70% w/w of Methocel E-6 hydroxypropyl methylcellulose and 30% w/w of another hydroxypropyl methylcellulose

20

versus the molecular weight of the 30% w/w hydroxypropyl methycellulose;

Fig. 3 is a graph of tensile strength of films having 70% w/w of Methocel E-6 hydroxypropyl methylcellulose and 30% w/w of maltodextrin polymers versus the molecular weight of the 30% w/w maltodextrin polymers; and

Fig. 4 is a graph of modulus of elasticity of films having 70% w/w of Methocel E-6 hydroxypropyl methylcellulose and 30% w/w of maltodextrin polymers versus the molecular weight of the 30% w/w maltodextrin polymers.

DETAILED DESCRIPTION

In tests, a number of HPMC coating films were made. Each such film included a polymer blend of 70% Methocel E-6 hydroxypropyl methylcellulose and 30% of another hydroxypropyl methylcellulose added thereto. The molecular weight of the added hydroxypropyl methylcellulose was different for each test. The HPMC coating films were prepared by dissolving each polymer combination in water, spreading the resultant solutions on glass plates, and allowing the films to dry. The dried films were

15

5

10

then evaluated by tensile stress analysis using a JJ tensile tester.

As shown in Figs. 1 and 2, as expected, the tensile strength and modulus of elasticity increased as the molecular weight of the additive hydroxypropyl methycellulose increased.

The test data illustrated in Fig. 1 is given below in Table 1, and the test data illustrated in Fig. 2 is given below in Table 2.

			•
10		TABLE 1	
	TENSILE STRENGTH (MPa)	v/s	MOLECULAR WEIGHT OF HPMC ADDED
			(x10 ³)
15	50.6 (70% HPMC E-6/30%	HPMC E-3)	26.25 (HPMC E-3)
	59.0 (100% HPMC E-6)		73.45 (HPMC E-6)
•	62.1 (70% HPMC E-6/30%	HPMC E-15)	131.39(HPMC E-15)
	66.0 (70% HPMC E-6/30%	HPMC E-50)	174.97 (HPMC E-50)
20		· · ·	· · · · · · · · · · · · · · · · · · ·

TABLE 2

5	MODULUS OF ELASTICITY V/s (GPa)	MOLECULAR WEIGHT OF HPMC ADDED (x103)
•	2.550 (70% HPMC E-6/30% HPMC E-3)	26.25 (HPMC E-3)
	2.930 (100% HPMC E-6)	73.45 (HPMC E-6)
•	2.920 (70% HPMC E-6/30% HPMC E-15)	131.39(HPMC E-15)
	3.010 (70% HPMC E-6/30% HPMC E-50)	174.97(HPMC E-50)
10	HPMC and Maltodextrin	
	A number of coating films havi	ng a blended
	mixture of HPMC and maltodextrin al	so were made.
•	Each such file in a second	

A number of coating films having a blended mixture of HPMC and maltodextrin also were made. Each such film included a polymer blend of 70% Methocel E-6 hydroxypropyl methylcellulose to which 30% maltodextrin was added. The molecular weight of the additive maltodextrin was different for each test. The coating films having a combination of HPMC and maltodextrin were prepared by dissolving each polymer combination in water, spreading the resultant solutions on glass plates, and allowing the films to dry. The dried films were then evaluated by tensile stress analysis using JJ tensile tester.

As shown in Figs. 3 and 4, unexpectedly, the tensile strength and modulus of elasticity decreased

15

20

as the molecular weight of the additive maltodextrin increased.

The test data illustrated in Fig. 3 is given below in Table 3, and the test data illustrated in Fig. 4 is given below in Table 4.

TABLE 3

10	TENSILE	NSILE STRENGTH (MPa) v/s			MOLECULAR WEIGHT OF MALTODEXTRIN ADDED (× 10 ³)		
	33.3(70%	HPMC	E-6/30%	STAR-DRI	1) 56.950(STAR-DRI 1)		
•	41.2(70%	нрмс	E-6/30%	STAR-DRI	5) 26.800(STAR-DRI 5)		
	44.0(70%	HPMC	E-6/30%	STAR-DRI	10) 7.690(STAR-DRI 10)		
15	49.0(70%	HPMC	E-6/30%	STAR-DRI	15) 2.970(STAR-DRI 15)		

TABLE 4

20	MODULUS OF E	LASTICITY	(GPa) v/s		MOLECULAR WEIGHT OF MALTODEXTRIN ADDED (x10 ³)
	1.894(70% HP	MC E-6/30%	STAR-DRI	1)	56.950(STAR-DRI 1)
	2.464 (70% HP	MC E-6/30%	STAR-DRI	5)	26.800(STAR-DRI 5)
25	2.756(70% HP	MC E-6/30%	STAR-DRI	10)	7.690(STAR-DRI 10)
	3.304(70% HP	MC E-6/30%	STAR-DRI	15)	2.970(STAR-DRI 15)

Accordingly, adding a lower molecular weight maltodextrin, rather than a higher molecular weight maltodextrin, to HPMC to form a polymer blend of HPMC and maltodextrin, produces a stronger coating. This is totally unexpected. Also, the coating was less cloudy.

These data show that while the addition of HPMC of increasing molecular weight to Methocel E-6 hydroxypropyl methycellulose produces expected results, namely, an INCREASE in tensile strength and modulus of elasticity, the addition of maltodextrin of increasing molecular weight produced exactly the opposite effect, namely, a DECREASE in tensile strength and modulus of elasticity. The results obtained with maltodextrin are totally unexpected. Similar trends are obtained when maltodextrins are added to other grades of cellulose ether polymers.

Consequently, a low molecular weight maltodextrin combined with a cellulosic polymer may be used to obtain a good coating film, and little or no trade off has to be made between clarity of the film and tensile strength of the film.

5

10

15

Further, since adding maltodextrin to cellulosic polymers decreases film strength, regardless of the anomaly of its behavior at varying molecular weight levels, maltodextrin would still be expected to produce film coatings that are entirely unsuitable to meet most modern film coating performance requirements. But, we have found that maltodextrin, in combination with cellulosic polymers of varying molecular weights, exhibits excellent adhesive qualities, enhanced gloss characteristics and reduced incidence of cloudiness (known as frost), often better than the film properties of HPMC and other cellulosic polymers alone.

15

10

5

Further, combinations of maltodextrin and cellulosic polymer may be formulated into dry, edible film coating compositions, shipped to the user dry, and then mixed easily into water to form aqueous coating solutions or suspensions.

20

The following examples of the invention all disclose formulations which may be mixed into water to form an aqueous coating solution or suspension effective to coat pharmaceutical tablets, food and confectionery pieces, and agricultural seeds. Seeds

10

15

20

are advantageously coated to meet various needs, such as color coding for identification purposes, adhesion of various additives (e.g., pest control agents and inocula), prevention of handling damage and to allow the use of mechanical planting equipment.

The coated forms include medicinal tablets, vitamin tablets, aspirin tablets, capsules, chewing gum balls, candy pieces, breakfast cereals, and agricultural seeds.

EXAMPLES

The following examples illustrate the invention. All units and percentages used herein are by weight.

EXAMPLE 1

A clear coating suspension is made for coating aspirin tablets with a thin coating making them easy to swallow and eliminating the characteristic aspirin taste. The following ingredients are mixed into water to form an aqueous coating solution.

		percent	Gms
	Maltodextrin (Star-Dri 10)	27.0	27.0
	HPMC (Methocel HPMC E-6)	45.5	45.5
	HPMC (Methocel HPMC E-3)	14.0	14.0
25	HPMC (Methocel HPMC E-50)	3.5	3.5
	PEG 400	10.0	10.0
		100.0	100.0

Star-Dri 10 maltodextrin is supplied by Staley Company; Methocel HPMC E-6, E-3, and E-50 are supplied by Dow Chemical Company. The combination of HPMC and maltodextrin is the film former. The PEG 400 is polyethylene glycol 400 (Union Carbide), and it is used in the formula as a plasticizer.

The coating suspension is prepared by blending all dry ingredients in a blender for five minutes, adding PEG 400 and blending for an additional five minutes. Then, 100 grams of the above mixture is stirred into 900 milliliters of water to make an aqueous coating suspension.

Ten kilograms of embossed aspirin cores are placed in a 24" Accela-Cota coating pan with four anti-skid bars. The aqueous coating suspension is sprayed onto the aspirin tablets using two #605 Binks air guns, 66 fluid nozzles, 66 SH air caps and a #7565-10 Masterflex peristaltic pump with two #7015 pump heads. During the coating procedure, the atomizing air is 35 psi, the inlet air is 75° centigrade, the outlet air is 45° centigrade, the pan speed is 8 rpm, the coating liquid feed rate is 65 grams/minute, and the coating time is 15 minutes.

5

10

15

The procedure produces very smooth, glossy, thinly coated aspirin cores that are non-tacky and easy to swallow. Further, the characteristic aspirin taste is not observed when a coated core is swallowed.

EXAMPLE 2

The coating solution is made as in Example 1 and vitamin tablets are spray coated as in Example 1.

EXAMPLE 3

10 The coating mixture is made as in Example 1 having the following formula:

	Por com c	GMS
Maltodextrin (Star-Dri 5)	27.0	27.0
HPMC (Methocel HPMC E-6)	45.5	45.5
HPMC (Methocel HPMC E-3)	14.0	14.0
HPMC (Methocel HPMC E-50)	3.5	3.5
PEG 400	<u> 10.0</u>	10.0
	100.0	100.0

The coating solution is made as in Example 1

and a 10 kilogram charge of mixed medicinal tablets,
including acetaminophen, aspirin, ibuprofen, and
vitamins is spray coated as in Example 1.

Gms

percent

EXAMPLE 4

A coating mixture is made as in Example 1 having the following formula:

		F	
5	Maltodextrin (Star-Dri 15)	27.0	27.0
	HPMC (Methocel HPMC E-6	45.5	45.5
	HPMC (Methocel HPMC E-3)	14.0	14.0
	HPMC (Methocel HPMC E-50)	3.5	3.5
• •	PEG 400	<u>10.0</u>	10.0
10		100.0	100.0

The coating solution is made as in Example 1 and a 10 kilogram charge of mixed medicinal tablets as described in Example 3 is spray coated as in Example 1.

15 EXAMPLE 5

It is often desirable to overcoat a pigmented cellulosic film polymer coating with a clear thin coating layer that imparts gloss and enables the tablet to be easily swallowed.

Accela-Cota, equipped as in Example 1, with an OPADRY® coating suspension made in accordance with the disclosure in Colorcon U.S. Patent No. 4,543,370, issued September 24, 1985, which is incorporated herein by reference.

The caplets are then overcoated with a coating mixture as in Example 1. The coating solution is

20

obtained by stirring 50 grams of the dry mix of Example 1 into 450 milliliters of water. The spray coating parameters are inlet air 80° centigrade, outlet air 45° centigrade, atomizing air 35 psi, pan speed 8 rpm, the solution feed rate is 62 grams/minute, and the coating time for the overcoat alone is 8 minutes. The coated caplets are non-tacky, very smooth and shiny.

EXAMPLE 6

10

5

It is often desirable to incorporate a colorant into the aforementioned formulae. The coating mixture is made as in Example 1, having the following dry formula:

		percent	GMS
15	Maltodextrin (Star-Dri 10)	30.00	90.00
	HPMC (Methocel HPMC E-6)	28.89	86.67
	HPMC (Methocel HPMC E-3)	8.89	26.67
	HPMC (Methocel HPMC E-50)	2.22	6.66
	PEG 400	10.00	30.00
20	Titanium Dioxide	16.00	48.00
	FD & C Yellow #6 HT Lake	4.00	12.00
		100.00	300.00

The titanium dioxide is an opacifier and the FD & C Yellow #6 HT lake is an aluminum lake manufactured by Colorcon, West Point, Pennsylvania, and gives the coating an orange color.

10

15

The coating suspension is made by mixing 300 grams of dry formula into 1700 milliliters of water and then spraying it onto 10 kilograms of mixed medicinal tablets, including acetaminophen, various aspirin tablets, ibuprofen, and vitamin tablets in a 24" Accela-Cota as in Example 1. The spray coating parameters are inlet air at 75° centigrade, outlet air at 45° centigrade, atomizing air at 3 bar, pan speed at 12 rpm, and feed rate at 65 grams/minute. The total coating time is 30 minutes. The tablets are fully coated and smooth, with good logo definition.

EXAMPLE 7

The coating mixture is made as in Example 1, having the following formula:

		bercen	C GMS
20	Maltodextrin (Star-Dri 10) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) PEG 400 Titanium Dioxide FD & C Yellow #6 HT Lake	21.00 35.39 10.89 2.72 10.00 16.00 4.00	63.00 106.17 32.67 8.16 30.00 48.00 12.00
		TOO * OO	300.00

25 The coating suspension is prepared as in Example 1 and the medicinal tablets are spray coated as in Example 6.

A breakfast cereal is coated with a colored aqueous coating suspension of the following formula mixed as in Example 1:

5		percent	Gms
	Maltodextrin (Star-Dri 5)	30.00	3.75
	HPMC (Methocel HPMC E-6)	28.89	3.61
	HPMC (Methocel HPMC E-3)	8.89	1.11
	HPMC (Methocel HPMC E-50)	2.22	0.28
10	PEG 400	10.00	1.25
	Titanium Dioxide	16.00	2.00
	FD & C Yellow #6 HT Lake	4.00	_0.50
		100.00	12.50

The suspension is prepared as in Example 1. The form to be sprayed in this example is puffs of KIX breakfast cereal. The cereal puffs are sprayed in a fluidized bed coater, made by Aeromatic. The operator partly fills the bed coater cone with KIX cereal puffs, approximately 125-150 grams, starts the turbine to fluidize the puffs, and sprays them with the aqueous suspension of Example 8 having 7.5% solids. The inlet temperature is 60° centigrade; the outlet temperature is 30° centigrade; the atomizing air is 2 bar, and the solution feed rate is 10 grams per minute.

15

20

Pistachio nuts are coated using the following formula:

		percent	Gms
5	Maltodextrin (Star-Dri 1)	30.14	3.014
	HPMC (Methocel HPMC E-6)	30.14	3.014
10	Kelcoloid S	9.44	0.944
	PEG 400	9.45	0.945
	Titanium dioxide	8.33	0.833
	FD & C Red #40 HT Lake Stearic Acid	9.72	0.972
	preditc Wold	<u>2.78</u>	0.278
		100.00	10.000

The powder coating is mixed into water as in Example 1 to make an aqueous coating suspension of 15% solids. A fluidized bed coating chamber as in Example 8 is filled with a 500 gram charge of unshelled pistachio nuts. The nuts are then sprayed with the coating suspension and the coating parameters are inlet temperature 60° centigrade, outlet temperature 40° centigrade, atomizing air 3 bar, and feed rate 10 grams/minute.

Kelcoloid S is propylene glycol alginate (Kelco Division of Merck & Co.) and is used as a secondary film former. Stearic acid (Witco Chemical Corporation) is used as a detackifier in the formula. FD & C Red #40 HT Lake is an aluminum lake manufactured by Colorcon, West Point, PA., and gives the coating a red color.

15

20

50 minutes.

Chocolate pieces are coated using the formula of Example 6. Ten kilograms of chocolate pieces are placed in a 24" Accela-Cota coating pan and rotated at 12 rpm. The pieces are then subcoated to provide an even surface to which the colored coating will be applied. The subcoat is a polydextrose based aqueous coating suspension made in accordance with the disclosure in Colorcon U.S. Patent No. 4,802,924, issued February 17, 1989, which is incorporated herein by reference. The subcoated chocolate pieces are then spray coated with the formula of Example 6 at 22.5% solids. The spray coating parameters are inlet air 33° centigrade, outlet air 23° centigrade, atomizing air 45 psi, feed rate 24 grams/minute, and the coating time is

10

5

10

15

20

25

EXAMPLE 11

To apply a colored coating composition to agricultural seeds, the following ingredients are mixed into water as in Example 1 to form an aqueous coating suspension of 20% solids:

	percent	GMS
Maltodextrin (Star-Dri 1) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) Triacetin Titanium Dioxide FD & C Blue #1 HT Lake		63.000 27.125 27.125 8.750 14.000 21.000 14.000

Three and a half kilograms of squash seeds

(Asgrow Seed Company) are placed in an 18"

conventional pan with 6 anti-slide baffles. The pan is rotated at 22 rpm, and the aqueous coating suspension is sprayed onto the seeds by a #460 Binks air gun, using a #7565-10 Masterflex peristaltic pump, with a 7016 pump head. During the coating procedure, the inlet air is 50° centigrade, outlet air is 28° centigrade, atomizing air is 35 psi, feed rate is 16 grams/minute and the coating time is 55 minutes. The coating adheres well to the seed surface and the seeds are smooth and non-tacky.

10

15

The triacetin is triethyl citrate and is used as a plasticizer in the formula, and the FD & C Blue #1 HT lake is an aluminum lake manufactured by Colorcon, West Point, PA, and gives the coating a blue color.

EXAMPLE 12

Functional additives such as fungicides and the like are mixed into the dry mixture formula of Example 11, and this dry mixture is mixed into water as in Example 11 to form a coating suspension. The aqueous coating is then sprayed onto seeds as in Example 11.

Alternatively, the additives may be stirred directly into the coating suspension, rather than dry mixing it into the dry coating mixture.

EXAMPLE 13

A coating mixture is made as in Example 1 having the following formula:

•		percent	Gms
20	Maltodextion (Star-Dri 1)	31.0	17.36
	HPMC (Methocel HPMC E-6)	13.0	7.28
	HPMC (Methocel HPMC E-3)	13.0	7.28
	HPMC (Methocel HPMC E-50)	5.0	2.80
	Triacetin	8.0	4.48
25	Talc	10.0	5.60
•	Titanium Dioxide	12.0	6.72
	FD & C Blue #1 HT	8.0	4.48
		100.0	56.00

A coating suspension is prepared as in Example

1. Then 800 grams of onion seeds (Petoseed Seed

Company) are sprayed into an Aeromatic Strea-1

fluidized bed coater with a 1.1 mm fluid nozzle.

The coating parameters are inlet temperature 50°

centigrade, outlet temperature 28° centigrade,

atomizing air 2 bar, feed rate 8 grams/minute and
the coating time is 35 minutes. A weight gain of

approximately 7.0% is applied, and the seeds are

smooth and non-tacky as in Example 11.

Talc is magnesium silicate and is used in the formula as a flow aid.

Other examples of coatings that illustrate the invention are as follows. They are sprayed onto agricultural seeds such as seeds for cucumbers, beets, carrots, and radishes. The dry coating mixtures and the liquid coating suspensions or solutions are made as in Example 1, and the seeds are spray coated as in Example 13.

5

10

15

BNSDOCID: <WO 9115548A1 1 >

		percent	Gms
	Maltodextrin (Star-Dri 10)	36.0	11.52
	HPMC (Methocel HPMC E-6)	15.5	4.96
5	HPMC (Methocel HPMC E-3)	15.5	4.96
	HPMC (Methocel HPMC E-50)	5.0	1.60
	Triacetin	8.0	2.56
	Titanium Dioxide	12.0	3.84
	FD & C Blue #1 HT Lake	8.0	2.56
10		100.00	32.00

A 4% weight gain is obtained by spraying.

EXAMPLE 15

		percent	GMS
•	Maltodextrin (Star-Dri 1)	33.0	9.90
15	HPMC (Methocel HPMC E-6)	16.5	4.95
	HPMC (Methocel HPMC E-3)	16.5	4.95
	Kelgin LV	4.0	1.20
	Alcolec F-100	2.5	0.75
	Triacetin	3.0	0.90
20	Titanium Dioxide	12.5	3.75
	FD & C Red #40 HT Lake	<u> 12.0</u>	<u> 3.60</u>
		100.0	30.00

Kelgin LV is sodium alginate (Kelco Division of Merck & Company) and is used as a secondary film former. Alcolec F-100 is lecithin (American Lecithin Company) and is used as a detackifier in the formula.

A 3% weight gain is obtained by spraying.

		percent	Gms
	Maltodextrin (Star-Dri 1)	34.0	10.20
	HPMC (Methocel HPMC E-6)	14.5	4.35
5	HPMC (Methocel HPMC E-3)	14.5	4.35
	HPMC (Methocel HPMC E-50)	5.0	1.50
	Talc	4.0	1.20
	Triacetin	8.0	2.40
10	Titanium Dioxide	4.0	1.20
10	D & C Yellow #10 HT Lake	_16.0	4.80
		100.0	30.00

D & C Yellow #10 HT Lake is an aluminum lake manufactured by Colorcon, West Point, Pennsylvania and gives the coating a yellow color.

A 3% weight gain is obtained by spraying. EXAMPLE 17

		percent	Gms
20	Maltodextrin (Star-Dri 1) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) Triacetin	36.0 15.5 15.5 5.0 8.0	20.16 8.68 8.68 2.80 4.48
25	Bentonite Titanium Dioxide FD & C Blue #1 HT Lake	6.0 6.0 <u>8.0</u> 100.0	3.36 3.36 <u>4.48</u> 56.00

Bentonite is an aluminum silicate (American Colloid Company) and is used in the formula as a flow aid.

A 7% weight gain is obtained by spraying.

15

20

EXAMPLE 18

A clear version of the preceding formulations is used on agricultural seeds as a carrier by which to apply performance additives such as fungicides and the like to the surface of the seed. A clear coating mixture is made as in Example 12 having the following formula:

percent

		Ponocino	CILLO
10	Maltodextrin (Star-Dri 1) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) Triacetin	43.50 21.75 21.75 5.00 8.00 100.00	24.36 12.18 12.18 2.80 <u>4.48</u> 56.00

The coating solution is prepared as in Example 1, except, after the dry coating mix is stirred into the water, the additives are mixed into the water. The agricultural seeds are spray coated as in Example 11.

EXAMPLE 19

Another clear aqueous coating formulation for agricultural seeds is as follows:

		berceur	GMS
25	HPMC (Methocel HPMC E-6)	33.50 16.75 16.75 5.00	18.76 9.38 9.38 2.80
30	Triacetin Talc	8.00 20.00 100.00	4.48 11.20 56.00

A coating suspension is prepared as in Example 1, and is spray coated onto agricultural seeds as in Example 11.

EXAMPLE 20

Another clear aqueous formulation for agricultural seeds is as follows:

		berceur	GMS
10	Maltodextrin (Star-Dri 1) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) PVP Triacetin	38.80 19.40 19.40 4.40 10.00 8.00 100.00	12.42 6.20 6.20 1.41 3.21 2.56
		100.00	32.00

A coating solution is prepared as in Example 1, and is spray coated onto agricultural seeds as in Example 11.

PVP is polyvinylpyrrolidone and is used in the formula as a secondary film former.

EXAMPLE 21

Another clear aqueous coating formulation for agricultural seeds is as follows:

		percent	Gms
	Maltodextrin (Star-Dri 1)	41.10	13.15
25	HPMC (Methocel HPMC E-6)	20.60	6.60
	HPMC (Methocel HPMC E-3)	20.60	6.60
	HPMC (Methocel HPMC E-50)	4.70	1.50
	Triacetin	8.00	2.55
30	Stearotex-C	<u>5.00</u>	_1.60
30	•	100.00	32.00

15

20

25

A coating solution is prepared as in Example 1, and is spray coated onto agricultural seeds as in Example 11.

Stearotex-C is a lubricating aid (Capital City Products, Co.) and is used in the formula as a flowaid.

EXAMPLE 22

A clear aqueous coating mixture is made as in Example 1 having the following formula:

10		percent	Gms
	Maltodextrin (Star-Dri 10)	76.0	3.80
	HPMC (Methocel HPMC E-50)	4.0	0.20
	PEG 3350	20.0	1.00
		100.0	5.00

A 5% solids coating solution is made as in Example 1 and a 500 gm charge of 3/8-inch concave placebos is spray coated in an Aeromatic Strea-1 fluidized bed coater with a 1.1 mm fluid nozzle. The spray coating parameters are inlet temperature 60° centigrade, outlet temperature 32° centigrade, atomizing air 2 bar, and fluid delivery rate 10 gms/min. A weight gain of approximately 1.0% is obtained.

PEG 3350 is polyethylene glycol (Union Carbide) and is used as a plasticizer in the formula.

Other formulations of clear coating mixtures are as follows. These dry mixtures are made into coating solutions and spray-coated onto 3/8-inch concave placebos as in Example 22 or spray coated onto medicinal tablets as in Example 1, and the coatings on the placebos and tablets are smooth and non-tacky.

EXAMPLE 23

		percent	Gms
10	HPMC (Methocel HPMC E-3)	67.0	3.35
	HPMC (Methocel HPMC E-6)	16.0	0.80
	Klucel EF	7.0	0.35
	Maltodextrin (Star-Dri 1)	5.0	0.25
15	Propylene Glycol	<u>5.0</u>	0.25
13		100.0	5.00

Klucel EF is hydroxypropyl cellulose (Hercules Co.) and is used in the formula as the primary film former in combination with the maltodextrin and HPMC's. Propylene glycol (Van Waters & Rogers) is used in the formula as a plasticizer.

EXAMPLE 24

		percent	Gms
	Maltodextrin (LoDex 5)	27.0	1.35
	HPMC (Methocel E-6)	45.5	2.28
25	CMC-7LF	14.0	0.70
	HPMC (Methocel E-50)	3.5	0.17
	Glycerin	8.0	0.40
	Cabosil EH5	2.0	0.10
		100.0	5 00

LoDex 5 is maltodextrin from Amaizo Co. CMC-7LF is carboxy methylcellulose from Aqualon and is used in combination with the maltodextrin and HPMC's as the primary film former of the formula. Glycerin (Van Waters & Rogers) is used as a plasticizer in the formula. Cabosil EH5 is colloidal silicon dioxide (fumed silica) (Cabot Co.) and is used as a flow-aid.

EXAMPLE 25

·10		percent	Gms
	Maltodextrin (LoDex 5)	27.0	1.35
	HPMC (Methocel E-6)	45.5	2.28
	Methocel A-15LV	14.0	0.70
15	HPMC (Methocel E-50)	3.5	0.17
	Citroflex A-2	8.0	0.40
	Cabosil EH5	2.0	0.10
		100.0	5.00

Methocel A-15LV is Premium Grade

Methylcellulose (Dow Chemical) and is used in

combination with HPMC's and maltodextrin as the

primary film former of the formula.

Citroflex A-2 is acetyl triethyl citrate (Pfizer Co.) and is used as a plasticizer.

EXAMPLE 26

		percent	Gms
5	Maltodextrin (Star-Dri 15)	27.0	1.35
	Pharmacoat 603	45.5	2.28
	Natrosol 250 LNF	14.0	0.70
	Metalose 60SH-50	3.5	0.17
	PEG 8000	10.0	<u>0.50</u>

Pharmacoat 603 and Metalose 60SH-50 are grades of hydroxypropyl methylcellulose (Shin-Etsu), and Natrosol 250 LNF is hydroxy ethylcellulose (Aqualon). All three polymers are used in combination with maltodextrin as the primary film former of the formula.

PEG 8000 is polyethylene glycol (Union Carbide) and is used as a plasticizer.

EXAMPLE 27

A colored aqueous coating suspension is made as in Example 1 having the following formula:

20		percent	Gms
25	Maltodextrin (Star-Dri 1) CMC-7LF Kelcoloid S Citroflex-2 Titanium Dioxide FD&C Red #40 HT Lake Sterotex	30.14 30.14 9.44 9.45 8.33 9.72 2.78 100.00	4.52 4.52 1.41 1.42 1.25 1.46 0.42 15.00

A 15% solids solution is prepared as in Example 1 and a 500 gm charge of 3/8-inch concave placebos

30

10

10

is spray coated as in Example 22. The spray coating parameters are inlet temperature 60° centigrade, outlet temperature 40° centigrade, atomizing air 2.5 bar, fluid delivery rate logm/min, and the approximate weight gain is 3.0%.

Citroflex-2 is triethyl citrate (Pfizer Co.) and is used in the formula as a plasticizer. Sterotex is a lubricating aid (Capital City Products, Co.) and is used in the formula as a flowaid.

EXAMPLE 28

Into 850 milliliters of water, the following is added:

		percent	Gms
15	Maltodextrin (Star-Dri 10)	27.00	40.50
	HPMC (Methocel HPMC E-6)	45.50	68.25
	HPMC (Methocel HPMC E-3)	14.00	21.00
	HPMC (Methocel HPMC E-50)	3.50	5.25
• •	PEG 400	10.00	<u> 15.00</u>
20		100.00	150.00

The coating suspension is then spray dried in a Lab Plant spray drier, model SD-04 (The Virtis Company Inc.). The spray drier parameters are as follows:

. 25	inlet temperature outlet temperature feed rate of material blower setting compressor setting	200°-225° centigrade 110°-115° centigrade 0.5-0.75 liters/hour 94-95 full (+)
30	spray nozzle	1 mm

20

25

The drying time of the suspension is 45 minutes, and the result is a fluffy, dry, edible coating composition. One hundred grams of the coating composition is stirred into 900 milliliters of water to make an aqueous coating solution, which is sprayed onto aspirin tablets as in Example 1.

Into 850 milliliters of water, the following is added:

10		percent	Gms
15	Maltodextrin (Star-Dri 10) HPMC (Methocel HPMC E-6) HPMC (Methocel HPMC E-3) HPMC (Methocel HPMC E-50) PEG 400 Titanium Dioxide FD&C Yellow #6 HT Lake	30.00 28.89 8.89 2.22 10.00 16.00 4.00	45.00 43.34 13.34 3.33 15.00 24.00 6.00 150.00

The coating suspension is then spray dried in a Lab Plant spray drier. The drying parameters for the spray drier are as follows:

inlet temperature outlet temperature feed rate of material blower setting compressor setting	180°-212° centigrade 121°-132° centigrade 13 grams/minute 94 full (+)
spray nozzle	1 mm

The drying time of the pigmented suspension is 75 minutes and the result is a dry, edible,

20

25

pigmented coating composition. One hundred grams of the coating composition is stirred into 900 milliliters of water to make an aqueous coating suspension, which is sprayed onto aspirin tablets as in Example 1.

EXAMPLE 30

A pigmented aqueous coating mixture is made as in Example 1 having the following formula:

		percent	Gms
10	Maltodextrin (Star-Dri 5)	18.00	54.00
	HPMC (Methocel HPMC E-3)	30.33	90.99
	HPMC (Methocel HPMC E-6)	9.34	28.02
	HPMC (Methocel HPMC E-50)	2.33	6.99
15	PEG 8000	10.00	30.00
13	Titanium Dioxide	<u> 30.00</u>	90.00
		100.00	300.00

An 18% solids coating suspension is made as in Example 1 and a 10 kilogram charge of mixed medicinal tablets as in Example 3 is spray coated as in Example 1. The spray coating parameters are inlet temperature 80° centigrade, outlet temperature 40° centigrade, fluid delivery rate 61 grams/minute, atomizing air 35 psi, pan speed 12 rpm. Approximately 3.0% weight gain is applied. The tablets are very smooth, non-tacky and elegantly coated.

EXAMPLE 31

A clear aqueous coating mixture is made as in Example 1 having the following formula:

		percent	Gms
5	Maltodextrin (Star-Dri 15) HPMC (Methocel HPMC E-50) PEG 8000 Stearic Acid	78.5 4.0 2.5 15.0 100.00	3.925 0.200 0.125 <u>0.750</u> 5.000

The coating solution is made as in Example 1 and a 500 gm charge of 3/8-inch concave placebos is spray coated as in Example 22.

A dry edible film composition for use in coating pharmaceutical tablets, food, confectionery forms and agricultural seeds with a protective film comprises a cellulosic polymer(s), maltodextrin and a plasticizer, and optionally, any or all of the following: secondary film former, detackifier, flow aid, and a colorant.

The dry edible film composition is prepared by blending together all the dry ingredients of the coating formula, and then blending the liquid plasticizer therein.

10

15

Alternatively, the dry edible film composition may be prepared by stirring the ingredients of the coating formulation one by one in a conventional manner into water to form a coating suspension.

Then, the coating suspension may be spray dried to form a dry edible film composition that may be remixed into water when desired to form a coating suspension which is then sprayed onto the forms, cores, or substrates.

BNSDOCID: <WO__9115548A1_J_>

CLAIMS:

- 1. A method of coating substrates such as pharmaceutical tablets, food and confectionery forms, agricultural seeds and the like, with a protective film comprising the steps of mixing a cellulosic polymer, maltodextrin, and a plasticizer into water to form an aqueous coating suspension, spraying an effective amount of said coating suspension onto said substrates to form a film coating on said substrates, and drying the film coating on said substrates.
- 2. The method of Claim 1, including dispersing a detackifier into the aqueous coating suspension.
- 3. The method of Claim 1, including dispersing a secondary film former into the aqueous coating suspension.
- 4. The method of Claim 1, including dispersing a flow aid into the aqueous coating suspension.
- 5. The method of Claim 1, including dispersing a colorant into the coating suspension before applying the coating suspension to the substrates.

5

10

15

- 6. The method of Claim 1, wherein the plasticizer is polyethylene glycol, triacetin, propylene glycol, acetyltriethyl citrate, triethyl citrate, or glycerin.
- 7. The method of Claim 1, wherein the plasticizer is in a range of 2.5% to 20% by weight of the non-water ingredients of the aqueous coating suspension.
- 8. The method of Claim 1, wherein the plasticizer is in a range of 5.0% to 10.0% by weight of the non-water ingredients of the aqueous coating suspension.
- 9. The method of Claim 2, wherein the detackifier is lecithin or stearic acid.
- 10. The method of Claim 2, wherein the detackifier is a the range of 0% to 15% by weight of the non-water ingredients of the aqueous coating suspension.
- 11. The method of Claim 2, wherein the detackifier is in a range of 0% to 10% by weight of the non-water ingredients of the aqueous coating suspension.

10

15

- 12. The method of Claim 3, wherein the secondary film former is sodium alginate, propylene glycol alginate, or polyvinylpyrrolidone.
- 13. The method of Claim 3, wherein the secondary film former is in a range of 0% to 10% by weight of the non-water ingredients of the aqueous coating suspension.
- 14. The method of Claim 3, wherein the secondary film former is in a range of 0% to 5% by weight of the non-water ingredients of the aqueous coating suspension.
- 15. The method of Claim 4, wherein the flow aid is a silicate such as talc, fumed silica, or bentonite, or lubricating aids such as edible hydrogenated vegetable oils (stearines) or hydrogenated vegetable oil and waxes.
- 16. The method of Claim 4, wherein the flow aid is in a range of 0% to 20% by weight of the non-water ingredients of the aqueous coating suspension.
- 17. The method of Claim 4, wherein the flow aid is in a range of 0% to 10% by weight of the non-water ingredients of the aqueous coating suspension.

15

- 18. The method of Claim 5, wherein said colorants are FD & C lakes, D & C lakes, titanium dioxide or dyes.
- 19. The method of Claim 5, wherein said colorants are in a range of 0% to 30% by weight of the non-water ingredients of the aqueous coating suspension.
- 20. The method of Claim 5, wherein the colorants are in a range of 0% to 20% by weight of the non-water ingredients of the aqueous coating suspension.
- 21. The method of Claim 1, the maltodextrin being in a range of 5% to 78.5% by weight of the non-water ingredients of the aqueous coating suspension.
- 22. The method of Claim 1, the maltodextrin being in a range of 20% to 50% by weight of the non-water ingredients of the aqueous coating suspension.
- 23. The method of Claim 1, wherein the cellulosic polymer is methylcellulose, hydroxypropyl cellulose, hydroxypropyl methylcellulose, hydroxyethyl cellulose, or carboxy methylcellulose.

15

24.	The method	of Clai	m 1, whe	rein th	e
cellulosio	polymer i	s in a r	ange of	4% to 9	0% by
weight of	the non-wa	ter ingr	edients	of the	aqueous
coating su	spension.				

25. The method of Claim 1, wherein the cellulosic polymer is in a range of 30% to 70% by weight of the non-water ingredients of the aqueous coating suspension.

10

- 26. A coated substrate produced by the method of Claim 1.
- 27. A coated substrate produced by the method of Claim 2.
- 28. A coated substrate produced by the method of Claim 3.

15

- 29. A coated substrate produced by the method of Claim 4.
- 30. A coated substrate produced by the method of Claim 5.

- 31. A coated substrate produced by the method of Claim 6.
- 32. A coated substrate produced by the method of Claim 7.
- 33. A coated substrate produced by the method of Claim 8.

- 34. A coated substrate produced by the method of Claim 9.
- 35. A coated substrate produced by the method of Claim 10.
- 36. A coated substrate produced by the method of Claim 11.
- 37. A coated substrate produced by the method of Claim 12.
- 38. A coated substrate produced by the method of Claim 13.
- 39. A coated substrate produced by the method of Claim 14.
- 40. A coated substrate produced by the method of Claim 15.
- 41. A coated substrate produced by the method of Claim 16.
- 42. A coated substrate produced by the method of Claim 17.
- 43. A coated substrate produced by the method of Claim 18.
- 44. A coated substrate produced by the method of Claim 19.
- 45. A coated substrate produced by the method of Claim 20.

10

15

- 46. A coated substrate produced by the method of Claim 21.
- 47. A coated substrate produced by the method of Claim 22.
- 48. A coated substrate produced by the method of Claim 23.
- 49. A coated substrate produced by the method of Claim 24.
- 50. A coated substrate produced by the method of Claim 25.
- 51. A dry powder edible film coating composition for use in pharmaceuticals, food, confectionery forms, agricultural seeds, and the like, comprising a dry mixture of

a cellulosic polymer,
maltodextrin, and

a plasticizer.

52. The dry edible film composition of Claim 51,

further including a detackifier.

- 53. The dry edible film coating composition of Claim 51, further including
 - a secondary film former.

5

10

15

54. The dry edible film coating composition of Claim 51, further including

a flow aid.

55. The dry edible film coating composition of Claim 51, further including

a colorant.

- 56. The dry edible film coating composition of Claim 51, wherein the plasticizer is polyethylene glycol, triacetin, propylene glycol, acetyltriethyl citrate, triethyl citrate, or glycerin.
- 57. The dry edible film coating composition of Claim 51, wherein the plasticizer is in a range of 2.5% to 20% by weight of composition.
- 58. The dry edible film coating composition of Claim 51, wherein the plasticizer is in a range of 5.0% to 10.0% by weight of the composition.
- 59. The dry edible film coating composition of Claim 52, wherein the detackifier is lecithin or stearic acid.
- 60. The dry edible film coating composition of Claim 52, wherein the detackifier is in a range of 0% to 15% by weight of the composition.

10

5

15

- 61. The dry edible film coating composition of Claim 52, wherein the detackifier is in a range of 0% to 10% by weight of the composition.
- 62. The dry edible film coating composition of Claim 53, wherein the secondary film former is sodium alginate, propylene glycol alginate, or polyvinylpyrrolidone.
- 63. The dry edible film coating composition of Claim 53, wherein the secondary film former is in a range of 0% to 10% by weight of the composition.
- 64. The dry edible film coating composition of Claim 53, wherein the secondary film former is in a range of 0% to 5% by weight of the composition.
- 65. The dry edible film coating composition of Claim 54, wherein the flow aid is a silicate such as talc, fumed silica, or bentonite, or lubricating aids such as edible hydrogenated vegetable oils (stearines) or hydrogenated vegetable oil and waxes.
- 66. The dry edible film coating composition of Claim 54, wherein the flow aid is in a range of 0% to 20% by weight of the composition.
- 67. The dry edible film coating composition of Claim 54, wherein the flow aid is in a range of 0% to 10% by weight of the composition.

10

15

- 68. The dry edible film coating composition of Claim 55, wherein said colorants are FD & C lakes, D & C lakes, titanium dioxide or dyes.
- 69. The dry edible film coating composition of Claim 55, wherein said colorants are in a range of 0% to 30% by weight of the composition.
- 70. The dry edible film coating composition of Claim 55, wherein the colorants are in a range of 0% to 20% by weight of the composition.
- 71. The dry edible film coating composition of Claim 51, the maltodextrin being in a range of 5% to 78.5% by weight of the composition.
- 72. The dry edible film coating composition of Claim 51, the maltodextrin being in a range of 20% to 50% by weight of the composition.
- 73. The dry edible film coating composition of Claim 51, wherein the cellulosic polymer is methylcellulose, hydroxypropyl cellulose, hydroxypropyl methylcellulose, hydroxyethyl cellulose, or carboxy methylcellulose.
- 74. The dry edible film coating composition of Claim 51, wherein the cellulosic polymer is in a range of 4% to 90% by weight of the composition.

10

15

- 75. The dry edible film coating composition of Claim 51, wherein the cellulosic polymer is in a range of 30% to 70% by weight of the composition.
- 76. A method of making a dry edible film coating composition for use in coating pharmaceutical tablets, food and confectionery forms, agricultural seeds, and the like with a protecting film, comprising the steps of

mixing a cellulosic polymer and maltodextrin to form a cellulosic-maltodextrin polymer mix,

and adding a plasticizer to the cellulosic polymer-maltodextrin mix and mixing until the combined mix is blended to form the dry edible film coating composition.

- 77. The method of Claim 76, further including adding a detackifier to the mix and mixing until the combined mix is blended to form the dry edible film coating composition.
- 78. The method of Claim 76, further including adding a secondary film former to the mix and mixing until the combined mix is blended to form the dry edible film coating composition.

5

10

15

- 79. The method of Claim 76, further including adding a flow aid to the mix and mixing until the combined mix is blended to form the dry edible film coating composition.
- 80. The method of Claim 76, further including adding a colorant to the mix and mixing until the combined mix is blended to form the dry edible film coating composition.
- 81. The method of Claim 76, wherein the plasticizer is polyethylene glycol, triacetin, propylene glycol, acetyltriethyl citrate, triethyl citrate, or glycerin.
- 82. The method of Claim 76, wherein the plasticizer is in a range of 2.5% to 20% by weight of the combined mix.
- 83. The method of Claim 76, wherein the plasticizer is in a range of 5.0% to 10.0% by weight of the combined mix.
- 84. The method of Claim 77, wherein the detackifier is lecithin or stearic acid.
- 85. The method of Claim 77, wherein the detackifier is in a range of 0% to 15% by weight of the combined mix.

15

- 86. The method of Claim 77, wherein the detackifier is in a range of 0% to 10% by weight of the combined mix.
- 87. The method of Claim 78, wherein the secondary film former is sodium alginate, propylene glycol alginate, or polyvinylpyrrolidone.
- 88. The method of Claim 78, wherein the secondary film former is in a range of 0% to 10% by weight of the combined mix.
- 89. The method of Claim 78, wherein the secondary film former is in a range of 0% to 5% by weight of the combined mix.
- 90. The method of Claim 79, wherein the flow aid is a silicate such as talc, fumed silica, or bentonite, or lubricating aids such as edible hydrogenated vegetable oils (stearines) or hydrogenated vegetable oil and waxes.
- 91. The method of Claim 79, wherein the flow aid is in a range of 0% to 20% by weight of the combined mix.
- 92. The method of Claim 79, wherein the flow aid is in a range of 0% to 10% by weight of the combined mix.

10

15

- 93. The method of Claim 80, wherein said colorants are FD & C lakes, D & C lakes, titanium dioxide or dyes.
- 94. The method of Claim 80, wherein said colorants are in a range of 0% to 30% by weight of the combined mix.
- 95. The method of Claim 80, wherein the colorants are in a range of 0% to 20% by weight of the combined mix.
- 96. The method of Claim 76, the maltodextrin being in a range of 5% to 78.5% by weight of the combined mix.
- 97. The method of Claim 76, the maltodextrin being in a range of 20% to 50% by weight of the combined mix.
- 98. The method of Claim 76, wherein the cellulosic polymer is methylcellulose, hydroxypropyl cellulose, hydroxypropyl methylcellulose, hydroxyethyl cellulose, or carboxy methylcellulose.
- 99. The method of Claim 76, wherein the cellulosic polymer is in a range of 4% to 90% by weight of the combined mix.

10

15 '

100. The method	of	Claim 76,	wherein	the	
cellulosic polymer is	in	a range of	f 30% to	70%	bу
weight of the combined	d mi	x.			

A method of making a dry edible film coating composition for use in coating pharmaceutical tablets, food and confectionery forms, agricultural seeds, and the like with a protective film, comprising the steps of

mixing a cellulosic polymer, maltodextrin, and a placticizer into a solvent to form a coating dispersion, and

evaporating the solvent in the coating dispersion to obtain the dry edible film coating composition.

- 102. The method of Claim 101, said solvent being water.
- 103. The method of Claim 101, further including

mixing a detackifier, a secondary film former, a flow aid, and a colorant into the solvent when forming the coating dispersion.

5

10

15

104. The method of making a coating dispersion for coating substrates such as pharmaceutical tablets, food and confectionery forms, agricultural seeds, and the like, comprising the steps of

mixing a cellulosic polymer, maltodextrin, and a plasticizer into water to form an aqueous coating dispersion.

105. The method of Claim 104, further including

dispersing a detackifier, a secondary film former, a flow aid, and a colorant into the aqueous coating dispersion.

An aqueous coating dispersion for coating substrates such as pharmaceutical tablets, food and confectionery forms, agricultural seeds, and the like, comprising a mixture of

a cellulosic polymer,

a plasticizer, and

water.

maltodextrin,

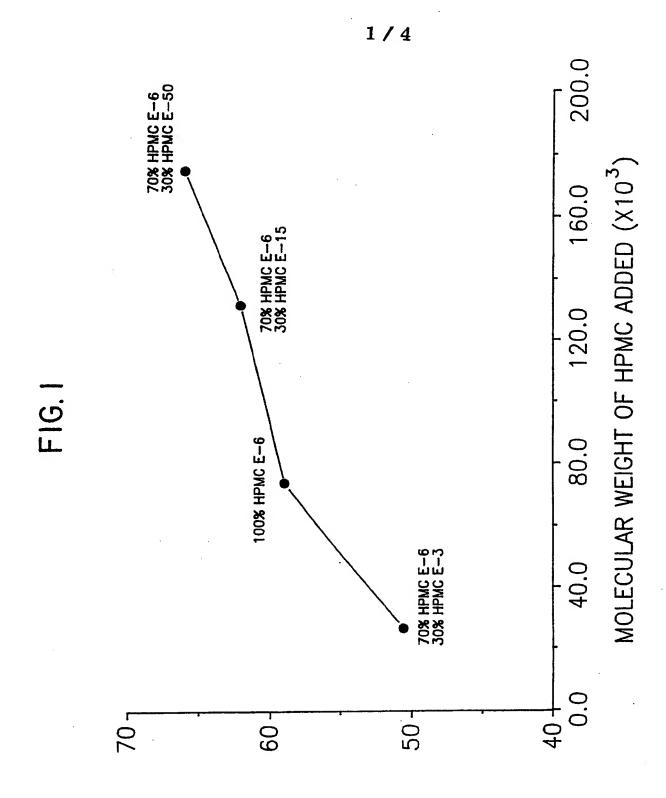
107. The aqueous coating dispersion of Claim 106, further including

a detackifier, a secondary film former, a flow aid, and a colorant.

5

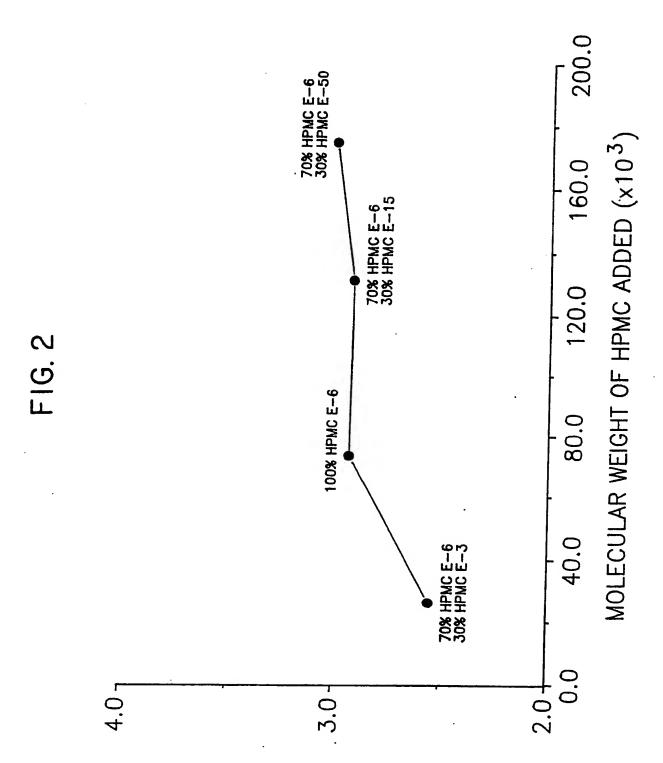
10

15

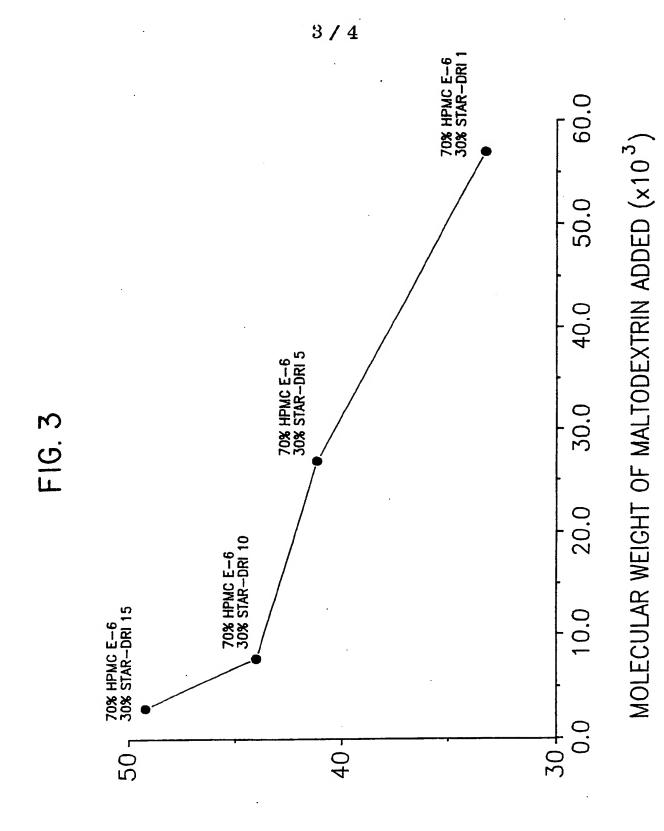


TENSILE STRENGTH (MPa)



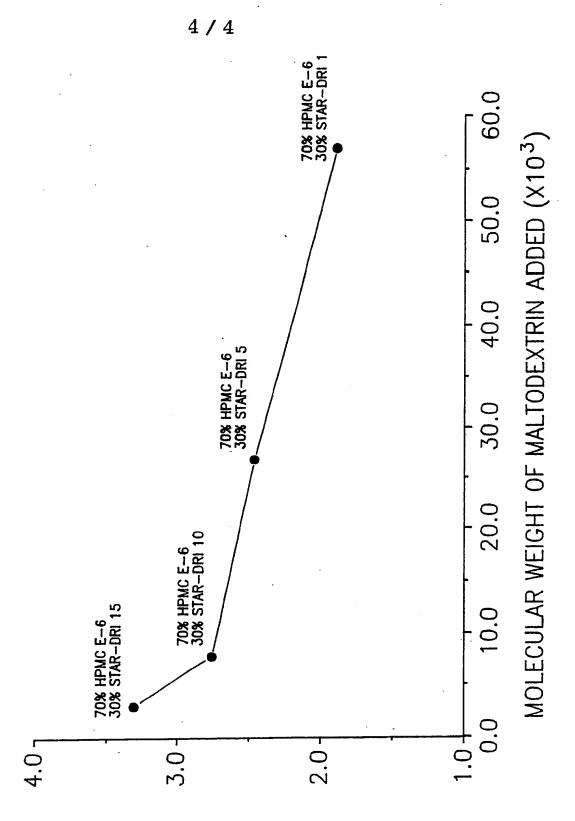


MODULUS OF ELASTICITY (GPa)



TENSILE STRENGTH (MPa)





MODULUS OF ELASTICITY (GPa)

PCT/US 91/02162 '

According to International Patent Classification (IPC) or to both National Classification and IPC C 09 D 101/00, IPC ⁵ : C 09 D 103/00, A 61 K 9/36, A 23 P 1/08, A 01 C 1/06, C 08 L 1/28, C 08 L 3/02 II. FIELDS SEARCHED Minimum Documentation Searched 7 Classification System Classification Symbols IPC ⁵ C 08 L, A 61 K, A 23 G, A 23 P, A 01 C Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched P III. DOCUMENTS CONSIDERED TO BE RELEVANT* Category * Citation of Document, "I with Indication, where appropriate, of the relevant passages "2 Relevant to Claim No. "P Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 See page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; examples
C
Minimum Documentation Searched 7 Classification System Classification Symbols IPC
Classification System IPC C 08 L, A 61 K, A 23 G, A 23 P, A 01 C Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched* III. Documents Considered to Be relevant* Category* Citation of Document, "I with Indication, where appropriate, of the relevant passages "2 Relevant to Claim No. "2 Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 See page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - 78,80-89,93- page 7, line 10; page 7, lines 21-27: 102, 104, 106
Classification Symbols IPC 5 C 08 L, A 61 K, A 23 G, A 23 P, A 01 C Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched* III. DOCUMENTS CONSIDERED TO BE RELEVANT* Category * Citation of Document, "I with Indication, where appropriate, of the relevant passages "2 Relevant to Claim No. "2 Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 See page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - 78,80-89,93-page 7, line 10; page 7, lines 21-27: 102, 104, 106
III. DOCUMENTS CONSIDERED TO BE RELEVANT® Category® Citation of Document, " with indication, where appropriate, of the relevant passages 12 Relevant to Claim No. " Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; 102 104 104
Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched. III. DOCUMENTS CONSIDERED TO BE RELEVANT. Category. Citation of Document, 11 with Indication, where appropriate, of the relevant passages 12 Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 See page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; 102 104 106
III. DOCUMENTS CONSIDERED TO BE RELEVANT* Category* Citation of Document, "I with indication, where appropriate, of the relevant passages 12 Relevant to Claim No. 13 Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - 78,80-89,93-page 7, line 10; page 7, lines 21-27; 102 104 106
The image of the felloward passages 12 Relevant to Claim No. 13 Y
Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; Relevant to Claim No. 32 1-3,5-14,18-28,30-39,43-3
Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; Relevant to Claim No. 32 1-3,5-14,18-28,30-39,43-39
Y EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; Relevant to Claim No. 12 1-3,5-14,18-28,30-39,43-53,55-64,68-78,80-89,93-102,104,106
EP, A, 0169319 (COLORCON INC.) 29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; 1-3,5-14,18-28,30-39,43-39
29 January 1986 see page 2, line 17 - page 3, line 26; page 4, lines 19-25; page 6, line 29 - page 7, line 10; page 7, lines 21-27; 1-3,5-14,18- 28,30-39,43- 53,55-64,68- 78,80-89,93-
, , , , , , , , , , , , , , , , , , ,
WO, A, 8707902 (COLORCON INC.) 30 December 1987 see page 2, lines 16-19; page 3, lines 28-30; page 4, lines 1-13,20-29; examples 7-11 1-3,5-14,18- 28,30-39,43- 53,55-64,68- 78,80-89,93- 102,104,106
A US, A, 3758323 (C.D. SZYMANSKI et al.) 11 September 1973 see abstract; column 2, lines 44-52; column 3, lines 29-31; column 4, lines 60-73/.
*T" later document published after the international filing date or priority date and not in conflict with the application but citied to be of particular relevance. "E" earlier document but published on or after the international filing date invention and the principle or theory underlying the invention of the citied to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means: "P" document published prior to the international filing date but later than the priority date claimed "C. CERTIFICATION ate of the Actual Completion of the International Search Date of Mailing of this International Search Report O 2. 09, 91 **T" later document published after the international filing date or priority date and not in conflict with the application but citied to understand the principle or theory underlying the invention of particular relevance; the claimed invention cannot be considered to involve an inventive atep document of particular relevance; the claimed invention cannot be considered to involve an inventive atep document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "A" document of particular relevance; the claimed invention cannot be considered to involve an inventive atep document is combined with the art. "A" document of particular relevance; the claimed invention cannot be considered to involve an inventive atep document is combined with the application but citied to understand the principle or theory underlying the invention of particular relevance; the claimed invention cannot be considered to understand the principle or theory underlying the invention of particular relevance; the claimed invention cannot be considered to understand the principle or theory underlying the invention of particular relevance; the claimed invention cannot be considered to understand the principle or theory underlying the invention of particular re
and the state of t
EUROPEAN PATENT OFFICE PCT/ISA/210 (second sheet) (January 1887) Danielle van der Haas

alegory *	AENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHE Citation of Document, 11 with Indication, where appropriate, of the relevant passages	Relevant to Claim No.
	Citation of Document, William Indication, Where appropriate, of the relevant passages	Relevant to Claim Ro.
A .	US, A, 4302440 (P.M. JOHN et al.) 24 November 1981 see column 3, lines 33-57; column 4, lines 6-10,19-33	1,26,104,100
Α .	DE, A, 2033110 (ANNE COMPANY LTD.) 25 February 1971 see page 10, example 2	101
		·

Form PCT/ISA 210(extra sheet) (January 1985)

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 9102162

46563 SA

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 27/08/91

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A- 0169319	29-01-86	US-A- 4643894 AU-B- 574443 AU-A- 3978385 JP-A- 61037724 US-A- 4828841 US-A- 4725441	17-02-87 07-07-88 30-01-86 22-02-86 09-05-89 16-02-88
WO-A- 8707902	30-12-87	US-A- 4802924 AU-B- 601341 AU-A- 7691887 JP-T- 63503515 ZA-A- 8704457	07-02-89 06-09-90 12-01-88 22-12-88 22-12-87
US-A- 3758323	11-09-73	None	
US-A- 4302440	24-11-81	CA-A- 1163196	06-03-84
DE-A- 2033110	25-02-71	FR-A- 2050172 GB-A- 1317946	26-03-71 23-05-73

E Solution of the European Patent Office, No. 12/82